OFFICE OF THE GOVERNOR

Bob Riley
GOVERNOR

State of Alabama

ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS

Bill Johnson
DIRECTOR

June 29, 2009

Mr. Charles Franklin, Director
Community Planning and Development Division
U.S. Department of Housing and Urban Development
950 22nd Street North, Suite 900
Birmingham, Alabama 35203-5301

Dear Mr. Franklin:

RE: Substantial Amendment to 2008 State of Alabama Consolidated Plan
For the Community Development Block Grant – Recovery Program

Enclosed please find the Substantial Amendment to the State of Alabama's Consolidated Plan for Program Year 2008 incorporating the CDBG-R Program. We believe this Amendment fulfills HUD's regulatory requirements for submission.

We appreciate the opportunity to assist Alabama's citizens made possible by the CDBG-R Program.

Sincerely,

Doni M. Ingram
Director

DMI:MEN:sj
Enclosure

401 ADAMS AVENUE • SUITE 580 • P.O. BOX 5690 • MONTGOMERY, ALABAMA 36103-5690 • (334) 242-5100

STATE OF ALABAMA
CDBG-R SUBMISSION TEMPLATE
& CHECKLIST

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards $1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which $10 million has been reserved by HUD for its administrative costs and $10 million of which will be awarded to Indian tribes. Recipients of the remaining $980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

(1) The CDBG-R Substantial Amendment	Pages 1- 39
(2) Spreadsheet for Reporting Proposed CDBG-R Activities	Attachment 1
(3) Citizen Participation Comments and Responses	Attachment 2
(4) Authorization Letter from Governor Bob Riley	Attachment 3
(3) Signed and Dated Certifications	Attachment 4
(4) Signed and Dated SF-424.	Attachment 5
(5) Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review	Pages 37-39

THE CDBG-R SUBSTANTIAL AMENDMENT

Jurisdiction(s): State of Alabama Jurisdiction Web Address: • *http://www.adeca.alabama.gov/C4/CDBG/default.aspx*	CDBG-R Contact Person: Shabbir Olia Address: P.O. Box 5690, Montgomery, Alabama 36103-5690 Telephone: (334) 242-5468 Fax: (334) 353-3527 Email: shabbir.olia@adeca.alabama.gov

ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation's infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

PLEASE SEE THE PROPOSED PROGRAM DESCRIPTION POSTED AS PART OF THE CITIZEN PARTICIPATION PROCESS AT:

HTTP://WWW.ADECA.ALABAMA.GOV/C4/CDBG/DOCUMENT%20LIBRARY/PROPOSED%20SUBSTANTIAL%20AMENDMENT%20WEB%20VERSION%20WITH%20SPANISH.DOC

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD's recovery website at http://www.hud.gov/recovery.

PLEASE SEE THE REQUIRED SPREADSHEET INCLUDED IN THIS SUBMISSION AS ATTACHMENT ONE

B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)

(1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

State Administration: The budget includes $246,730 for administration. The entire Substantial Amendment includes $6,414,895 in CDBG-R for construction and $634,541 (9.89%) in administration (back-up project totals are not included in these totals).

City of Winfield Water and Street Improvement Project: The budget includes $368,350.00 for construction and $22,753 for administration. All funds are CDBG-R. There are 143 beneficiaries, 118 (85.21%) are LMI.

Town of Mosses Sewer Lagoon Improvement Project: The budget includes $467,500 for construction and $32,500 for administration. All funds are CDBG-R. There are 129 beneficiaries, 129 (100%) are LMI.

Town of Collinsville Sewer System Improvement Project: The budget includes $470,900 in CDBG-R funds for construction, $7,124 in local matching funds for construction, and $29,100 for administration. There are 1,461 beneficiaries, 784 (53.7%) are LMI.

City of Camden Sewer System Improvement Project: The budget includes $467,500 for construction and $32,500 for administration. All funds are CDBG-R. There are 2,183 beneficiaries, 1,186 (54.3%) are LMI.

Town of Kennedy Water Tank Improvement Project: The budget includes $132,500 for construction and $8,500 for administration. All funds are CDBG-R. There are 417 beneficiaries, 119 (61%) are LMI.

Town of Ashville Sewer System Improvement Project: The project budget includes $470,000 for construction and $30,000 for administration. All funds are CDBG-R. There are 2,213 beneficiaries, 1,178 (53.2%) are LMI.

City of Florala Street Improvement Project: The budget includes $253,223 of CDBG-R funds for construction, $10,000 of local in-kind match for construction, and $17,000 in CDBG-R funds for administration. There are 64 beneficiaries, 58 (90%) are LMI.

City of Lineville Water Tank Improvement Project: The budget includes $247,136 for construction and $17,180 for administration. All funds are CDBG-R. There are 1,192 beneficiaries, 679 (56.96%) are LMI.

City of Selma Street Improvement Project: The budget includes $468,000 for construction and $32,000 for administration. All funds are CDBG-R. There are 19,914 beneficiaries, 10,885 (54.5%) are LMI.

City of Haleyville Neighborhood Improvement Project: The budget includes $469,300 for construction and $30,700 for administration. All funds are CDBG-R. There are 139 beneficiaries, 139 (100%) are LMI.

City of Lanett Sewer System Improvement Project: The project budget includes for $444,125 construction and $30,875 for administration. All funds are CDBG-R. There are 599 beneficiaries, 378 (63.1%) are LMI.

Bibb County Road Improvement Project: The project budget includes $433,990 for construction and $28,200 for administration. All funds are CDBG-R. There are 122 beneficiaries, 116 (95.4%) are LMI.

City of Bay Minette Sewer System Improvement Project: The Budget includes $500,000 in CDBG-R construction costs, $67,500 in local matching funds for construction, and $32,500 in local matching funds for administration. There are 6,883 beneficiaries, 3,808 (55.3%) are LMI.

City of Livingston Sewer System Extension Project: The budget includes $467, 500 in CDBG-R funds for construction costs, $45,700 in local matching funds for construction, and $32,500 in CDBG-R funds for administration. There are 14,543 beneficiaries, 8,781 (60.4%) are LMI.

City of Elba Water Well Construction Project: The budget includes $416,971 in CDBG-R funds for construction, $111,600 in local matching funds for construction, and $21,903 in CDBG-R funds for administration. There are 3,816 beneficiaries, 2,087 (54.7%) are LMI.

City of Guin Sewer System Extension Project: The budget includes $337,900 for construction and $22,100 for administration. All funds are CDBG-R. There are 45 beneficiaries, 23 (51%) are LMI.

NOTE: The Alabama Department of Economic and Community Affairs intends to require recipients to be under contract within 75 days of the grant agreement. If in the opinion of the State, one or more of the above projects is not progressing at the rate necessary to meet the required 75-day deadline to be under construction, the State will award the funds to one of the five "back-up" projects listed below. Also, should there be cost under runs associated with the individual projects, the State reserves the right to redistribute the funds among the projects listed above or to fund one or more of the projects listed below.

Town of Lockhart Street Improvement Project (Back-up Project): The budget includes $467,500 for construction and $32,500 for administration. All funds are CDBG-R. There are 549 beneficiaries, 290 (52.8%) are LMI.

Chambers County Fire Station Construction Project (Back-up Project): The budget includes $467,500 for construction and $32,500 for administration. All funds are CDBG-R. There are 310 beneficiaries, 214 (69.2%) are LMI.

Lamar County Water System Extension Project (Back-up Project): The budget includes $413,100 for construction and $26,800 for administration. All funds are CDBG-R. There are 92 beneficiaries, 53 (57.8%) are LMI.

Winston County Road Improvement Project (Back-up Project): The budget includes $467,500 for construction and $32,500 for administration. All funds are CDBG-R. There are 273 beneficiaries, 226 (82.78%) are LMI.

City of Vernon Sewer System Improvement Project (Back-up Project): The budget includes $467,500 in CDBG-R funds for construction, $270,000 in local matching funds for construction, and $32,500 in CDBG-R funds for administration. There are 165 beneficiaries, 146 (88.5%) are LMI.

(2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

State Administration – Please see the Proposed Program Description on the ADECA website. The project selection criteria and priorities that were used to ensure that the projects selected would meet the requirements of the Recovery Act are available at the following link. http://www.adeca.alabama.gov/C4/CDBG/default.aspx

Preserving and creating jobs and promoting economic recovery: Please see the proposed program description on the ADECA website. Approximately 224 construction related jobs, 5 clerical jobs, and 21 professional jobs will be created or retained as a result of the proposed projects (these figures do not include the totals form the back-up projects).

Assisting those most impacted by the recession: Please see the proposed program description on the ADECA website. Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. There will be an estimated 53,863 beneficiaries, 30,468 (56%) of these are LMI (these figures do not include the totals for the back-up projects).

Providing investment needed to increase economic efficiency: Please see the proposed program description on the ADECA website and the individual project descriptions below.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: Please see the proposed program description on the ADECA website and the individual project descriptions below. The proposed projects are all for infrastructure improvements associated with essential services. All projects were qualified as LMI.

Minimizing or avoiding reductions in essential services: Please see the proposed program description on the ADECA website.

Fostering energy independence: Please see the proposed program description on the ADECA website.

City of Winfield Water Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs, 2 clerical jobs, and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all

counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Winfield is located in Marion County which received a weighted distress score of 19.

Providing investment needed to increase economic efficiency: The project area is currently served by undersized two-inch mains. The project will increase flows to allow adequate pressure to 55 households. The use of 6" replacement lines is more economically efficient than the incline booster station which would increase operation (electrical) and maintenance costs.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: According to the project engineer, the life expectancy of PVC and the associated water system appurtenances is 50+ years. The road improvements have been designed to have a life expectancy of 20-30 years with the wearing surface lasting 10-15 years.

Minimizing or avoiding reductions in essential services: All 143 residents served by this project are currently served by an inadequate system and the severely deteriorated roads serve 52 residents.

Fostering energy independence: The project has been designed to increase flow without the need for in-line pumping which will reduce energy consumption.

Town of Mosses Sewer Lagoon Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. The Town of Mosses is located in Lowndes County which received a weighted distress score of 16.

Providing investment needed to increase economic efficiency: The town is currently operating under an Alabama Department of Environmental Management (ADEM) consent order costing $250/day, leading to a $76,500 debt. The improvements will allow the system to improve effluent quality to a level allowable for discharge into an area creek without fines. Additionally, the upgrades will reduce daily operations and maintenance costs for the next 20 years.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The town is currently operating under an ADEM consent order due to effluent quality problems which are harmful to the environment. The improvements will allow the system to improve effluent quality to a level allowable for discharge into an area creek without serious environmental impact. Not only will these improvements address the city's immediate sewer system deficiencies, but they will also allow the system to add additional commercial and residential customers. Lack of infrastructure capacity has hindered growth in the community.

Minimizing or avoiding reductions in essential services: Mosses cannot afford to continue operating the system under the consent order and the extreme poverty of the area makes an increase in fees to pay the fines impossible.

Fostering energy independence: The updated electrical components of the project will greatly increase the system's overall energy efficiency and reduce the amount of energy required to treat and discharge the effluent.

Town of Collinsville Sewer System Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 10 construction related jobs and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Collinsville is located in DeKalb County which received a weighted distress score of 18.

Providing investment needed to increase economic efficiency: The project will protect the environment from overflows of untreated sewage from the treatment facility. Surcharging at manholes due to inflow and infiltration will also be reduced thereby reducing the levels of contaminants released into the area ground and surface water systems.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The project will protect the environment from overflows of untreated sewage from the treatment facility. Surcharging at manholes due to inflow and infiltration will also be reduced thereby reducing the levels of contaminants released into the area ground and surface water systems. The improvements are expected to benefit the community for 40-50 years.

Minimizing or avoiding reductions in essential services: The sewer system is under an ADEM consent order; the project will put the system back into compliance with ADEM regulations and eliminate the need for the system to pay costly fines.

Fostering energy independence: The project will include cured-in-place-pipe (CIPP) lining techniques that minimize the amount of construction equipment necessary to rehabilitate the sewer lines. In most cases, pavement repairs can be avoided also reducing the amount of asphalt and materials and equipment. The CIPP system may use less petroleum based products than traditional PVC lines

City of Camden Sewer System Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 13 construction related jobs, 1 clerical job, and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Camden is located in Wilcox County which received a weighted distress score of 16.

Providing investment needed to increase economic efficiency: Both facilities currently use manually cleaned bar screens which is an inefficient means of pre-treatment. The improved efficiency will enable the plants to filter large quantities of inert solids significantly reducing operating and maintenance costs. Further, the inflow and infiltration problem to be addressed with the replacement of the outfall line will greatly reduce the volume of sewage treated at the plant during moderate to heavy rain events, thereby significantly reducing the operation and maintenance costs and extending the useful life of the treatment facilities.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The UV disinfection system has considerably less impact on the habitat of the effluent receiving stream than the chlorination disinfection process does. The UV systems have a long-term use rate of 20 years. The sewage outfall replacement will significantly reduce the amount of inflow and infiltration and reduce the risk of surcharging manholes (untreated sewage backing out of manholes onto the street), thereby protecting the environment from contamination from untreated chemicals and waste.

Minimizing or avoiding reductions in essential services: The cost of upgrading the system is beyond the financial means of the small system, however, excessive inflow and infiltration rates have put the system at risk for ADEM mandates.

Fostering energy independence: Upgrades in the system are more energy efficient than the older systems to be replaced.

Town of Kennedy Water Tank Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 4 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Kennedy is located in Lamar County which received a weighted distress score of 15.

Providing investment needed to increase economic efficiency: By repairing these water tanks today, Kennedy will avoid the increased expense of addressing more problems and more deterioration in the future. The rehabilitation of the tanks will provide long-term benefits that will outlast spot repairs as being undertaken now. Further, rather than replacing the tanks, the town has determined that conserving resources by rehabilitation and reuse of the existing tanks is the most economically efficient way to provide this essential service to the community.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: This project will help to protect the environment as both tanks contain lead based paint that will be removed through the tank rehabilitation. Once the rehabilitation is complete, the improvements will have a useful life of 25 to 30 years.

Minimizing or avoiding reductions in essential services: ADEM is requiring Kennedy to rehabilitate the tanks or risk fines. The tanks are the only source of public water for the 541 residents of Kennedy and 40 additional households in the county. Additionally, the tanks provide backup coverage for the South Lamar High School

Fostering energy independence: Rather than replacing the tanks, the town has determined that conserving resources by rehabilitation and reuse of the existing tanks is the most energy efficient way to provide services to the community.

Town of Ashville Sewer System Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 7 construction related jobs and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Ashville is located in St. Clair County which received a weighted distress score of 13.

Providing investment needed to increase economic efficiency: By reducing inflow and infiltration, the volume of stormwater treated as sewage at the treatment facility will be significantly minimized, drastically reducing operating and maintenance costs and eliminating the need to expand the treatment facility to treat stormwater.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: This project will rehabilitate 3,632 L.F. of severely deteriorated sewer line with excessive levels of inflow and infiltration. This causes the treatment facility to be inundated with stormwater and work beyond its design capacity. The improvements will have a useful life of 50 years and will significantly prolong the life of the treatment facility by reducing the amount of stormwater treated and preventing the need to increase capacity. The inflow and infiltration cause surcharging of manholes which leads to untreated sewage and other contaminants flowing into streets, yards, and surface and ground water sources. This project would eliminate the surcharging and reduce environmental contaminants.

Minimizing or avoiding reductions in essential services: Due to the deteriorated condition of the Ashville sewer system and the high costs associated with maintaining the system, the Ashville Sewer System has begun losing money. Maintenance, testing, and material costs have increased while revenues have been stable. The system needs to be upgraded so that services can be maintained without risk of ADEM fines being imposed due to excessive inflow and infiltration causing illegal discharges.

Fostering energy independence: The significant amount of stormwater entering the sewer lines causes the pump/lift stations on State Highway 231 and 411 to work beyond their design capacity,

for extended periods of time, just to move the excess volume through the system. Once the improvements are made, the pumps will be moving volumes within the design capacity and will operate far more efficiently, consuming significantly less energy.

City of Florala Street Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Florala is located in Covington County which received a weighted distress score of 17.

Providing investment needed to increase economic efficiency: The proposed project is to resurface residential streets that were last improved over 30 years ago. Pavement deteriorates at an exponential rate, so the streets have been worsening at an increasing rate over the past few years. The City of Florala has the capacity to provide minor street repair, but does not have the resources to perform a full rehabilitation of the streets, as is needed. The project will allow the City to focus operational funds on other components of their public works.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: According to the Covington County Engineer's Office, the projected useful life of the street improvements if estimated to be 25 years. This project will provide a long-term investment for these residential street areas in Florala. Quality transportation facilities are a vital contribution to community development and implementation of the project will upgrade these essential arteries within the town.

Minimizing or avoiding reductions in essential services: Transportation facilities are essential services within communities. If the existing streets continue to deteriorate beyond their useful like, residents of western Florala will experience increasing hardship travelling to and from their residences, of this predominantly LMI area. Implementation of the street improvements project will provide improved transportation options.

Fostering energy independence: The implementation of the proposed street improvements will utilize modern construction practices to minimize excessive use of energy in street improvement activities. Further, the street improvements will reduce wear on transportation equipment and result in improved gas mileage, contributing to energy independence.

City of Lineville Water Tank Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 10 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of

unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Lineville is located in Clay County which received a weighted distress score of 16.

Providing investment needed to increase economic efficiency: The Ace water tank is structurally sound making it economically feasible to renovate the tank, thereby, avoiding demolition and replacement with a new tank. Renovation versus new construction is a cost saving measure. In order to reduce operating cost and save energy, the renovated tank will be equipped with an overflow volume meter to manage water loss. The tank is losing 2,000,000 gallons per month due to overflow problems. The volume meter will save the City $31,200 per year in operating cost. In addition, maintenance cost will be reduced by $8,000 per year since the renovated tank will not require extensive patching and spot painting.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The proposed activity includes the rehabilitation of a water storage facility which is necessary to provide an adequate quantity and pressure of potable water, particularly during times of peak usage. The renovation of the water storage facility will prolong the useful life of the tank by more than 20 years, yielding long-term economic benefits.

Minimizing or avoiding reductions in essential services: The Ace water tank provides for the storage of potable drinking water, potable irrigation, fire suppression, and for commercial and industrial uses. It provides an essential service to the citizens of Lineville. The renovation of the Ace water storage facility will minimize or avoid reductions in the quality and quantity of potable water for all uses.

Fostering energy independence: The renovated tank will be equipped with an overflow volume meter to manage water loss, reduce operating cost and save energy. The tank is losing 2,000,000 gallons per month due to overflow problems. The volume meter will save the City $31,200 per year in operating cost and improve energy independence.

City of Selma Street Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 15 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Selma is located in Dallas County which received a weighted distress score of 16.

Providing investment needed to increase economic efficiency: The street improvement project will greatly reduce the repair costs associated with continually patching and repairing streets that have outlived their design life. The streets can no longer be maintained, they must be reconstructed. Additionally, the deterioration has been accelerated due to drainage system failures which have increased repair costs even more.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: This project will invest in the city's transportation network by

upgrading one of the most heavily travelled roads in the City. The materials are expected to have a useful life of 30 years.

Minimizing or avoiding reductions in essential services: Currently, the residents of Selma must travel J.L. Chestnut Boulevard in order to access essential community services and local businesses. Additionally, there are fifteen businesses (108 employees) located along the portion of J.L. Chestnut Boulevard in the project area. All of the businesses in this area are small businesses. There are many vacant storefronts located along J.L. Chestnut Boulevard. The reconstructed road would attract new businesses to the area. Fifteen businesses with 108 employees will benefit from this project, as well as the entire population of Selma.

Fostering energy independence: The street improvements will reduce wear on transportation equipment and result in improved gas mileage, contributing to energy independence.

City of Haleyville Neighborhood Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 18 construction related jobs and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Haleyville is located in Winston County which received a weighted distress score of 15.

Providing investment needed to increase economic efficiency: All water lines to be constructed as part of this project have been designed in both pressure class and size to accommodate future development. Water lines will be constructed with at least 30" of cover to ensure the water line will not freeze. Additionally, the lines will be installed in the street right-of-way rather than in the roadbed to prevent premature line breaks.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The waterline improvements proposed herein will consist of the installation of Class 250 PVC waterline. The proposed water improvements will be constructed utilizing quality workmanship and materials. The estimated useful life of this waterline is between 30 and 40 years. Related materials such as fittings, gate valves and boxes, and fire hydrants have a standard life of 30 years. Service connections have a 20-year life expectancy. The drainage pipe improvements proposed by this project will be designed for a 25-year flood. The useful life of the valley gutter and curb and gutter to be installed by this project is estimated to be 25 years.

Minimizing or avoiding reductions in essential services: Water and drainage improvements will prevent system failures and interruption in service.

Fostering energy independence: The proposed project will lead to the reduction of water loss, reduction of operation and maintenance cost, reduction of time and gas expended on water leaks, and less damage to streets due to water leaks. The storm water drainage system will be improved by installing curb and gutter, valley gutters, and stormwater culverts underneath the existing road crossings to end and prevent roadway flooding and premature deterioration.

City of Lanett Sewer System Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 10 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Lanett is located in Chambers County which received a weighted distress score of 15.

Providing investment needed to increase economic efficiency: The lift station renovation project is designed to utilize components of the existing facility to maximize construction costs savings. All gravity mains and force mains that fed in the lift station are in place and will not have to be relocated nor modified. Modifications to yard piping have been minimized. The existing pump station site is fenced and is served by an access road. Adequate electrical power is available for operation of the pumps.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: Both pumps are capable of operating simultaneously at peak loads during moderate and heavy rainfall. Sewer overflows can be prevented to minimize environmental concerns. The renovation of the facility will prolong the useful life of the station by more than 30 years

Minimizing or avoiding reductions in essential services: The I-85 sewer lift station is an essential part of Lanett's Sanitary Sewer System. The station is designed to handle raw sewage from the Industrial Park and West Shawmut which is fed from both gravity and force mains. Raw sewage flows into the wet well which is equipped with electrical instrumentation to detect the level of sewage present. When the sewage level rises to a predetermined point, a pump will be started to lift the sewage upward through a pressurized pipe system discharging sewage into a gravity manhole directing it to the wastewater treatment plan. The station functions to move waste to higher elevations. In the case of failure of the pumping station (which has been the case due to the failure of the 30 year old facility), a backup in the sewer system occurs, leading to a sanitary sewer overflow and discharging of raw sewage into the environment. The renovation of the station will minimize or avoid reductions in an essential service.

Fostering energy independence: Costs associated with operation and maintenance of the upgraded pump station will require considerably less to maintain and operate than the 30 year old station. The upgrade includes two energy efficient pumps. During normal flows only one pump will be in operation thereby saving energy cost and allowing access for maintenance on the idle pump without service interruptions. Up to date electrical controls and energy efficient pumps will further add to energy savings and long-term cost savings.

Bibb County Road Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 18 construction related jobs and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Bibb County received a weighted distress score of 13.

Providing investment needed to increase economic efficiency: Bibb County is rural and finances are very limited. The Highway Department is currently operating on a reduced work week schedule and does not have the resources to address road failures. The proposed road reconstruction will permit the County's Highway Department to continue to perform routine maintenance as opposed to directing the majority of the Department's efforts to addressing road failures. An improved roadway should also translate into fewer work orders for the Highway Department.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The bituminous concrete wearing surface layer and bituminous concrete base layer leveling is estimated to have a useful life of 30 years without significant repair. The aggregate surface has a 15 year estimated useful life. This resurfacing project, in addition to providing a much better roadway for individuals to travel, may also provide a better roadway for West Alabama Public Transportation to travel as well. This is an organization the Commission has recently been collaborating with in order to provide public transportation to Bibb County citizens. In addition, environmental factors should be positively impacted as an improved roadway and associated improvements should allow for improvements to drainage and erosion.

Minimizing or avoiding reductions in essential services: The proposed road reconstruction will address the current lack of service caused by road closings due to road failures and provide many years of uninterrupted road service for county residents

Fostering energy independence: The road improvements will reduce wear on transportation equipment and result in improved gas mileage, contributing to energy independence.

City of Bay Minette Sewer System Improvement Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Bay Minette is located in Baldwin County which received a weighted distress score of 11.

Providing investment needed to increase economic efficiency: The project is the most cost effective, economically efficient approach to reduce the sewer overflows that currently cause the city to divert limited dollars to emergency repairs. The construction method calls for by-pass

pumping to take place while the pump stations are being repaired. This will prevent any interruptions in sewer service from occurring during construction.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The project will reduce infiltration of surface water delivered to the WWTF which discharges into Hollinger's Creek with the ultimate destination of flow into Perdido Bay. This will have a very positive long-term effect upon the environment. This watershed is extremely important because it affects the water quality for all of Baldwin County and all the industries that are connected to that water quality. The river is frequented by locals and tourists for recreational activities, fishing, etc. Additionally, the tract provides habitat for the Atlantic white cedar along the river banks, the gopher tortoise, indigo snakes and longleaf pine. The presence of untreated sewage in this river has a negative affect on water quality, spreads life-threatening ailments and endangers wildlife. This project will play a critical role in reducing the infiltration of surface water that is delivered to the treatment plant, thus reducing the likelihood that unpermitted discharges will occur in the future. The improvements will have a useful life of over 25 years.

Minimizing or avoiding reductions in essential services: The deteriorating condition of the Railroad and Dobson pump stations is jeopardizing capabilities to ensure the provision of essential utility services to residents.

Fostering energy independence: The upgrades will include more energy efficient pumps and electrical systems. The new pump stations will have modern mechanical and electrical equipment that is Energy Star rated energy efficient.

City of Livingston Sewer System Extension Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 15 construction related jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Livingston is located in Sumter County which received a weighted distress score of 11.

Providing investment needed to increase economic efficiency: The existing York High School and Livingston High School are inefficient and expensive to operate and maintain for the Sumter County School Board. The new school will be energy efficient and will significantly reduce operation and maintenance expenses for many years.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: Nine hundred high school students can create a lot of sewage in one day. The proper disposal of that volume of sewage is definitely a matter of environmental concern. The sewer lines will have a useful life of 50 years. Therefore, the proposed project is a long-term investment in infrastructure for environmental protection.

Minimizing or avoiding reductions in essential services: The School Board's finances are severely strained and will not permit the expenditure for sewer improvements without critically reducing school services.

Fostering energy independence: The new school facility will feature the following types of energy saving technologies: high efficiency HVAC; low flow plumbing with motion detectors to reduce water consumption, and therefore, sewage production; low reflectivity, double-pane, insulated glass to reduce heat gain; light colored and reflective roofing materials; durable and long lasting materials and finishes to reduce long-term O&M costs; energy efficient lighting; building energy management systems; and maximum insulation in walls and roofs to improve R-values.

City of Elba Water Well Construction Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 16 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Elba is located in Coffee County which received a weighted distress score of 6. The urgency associated with the proposed improvements influenced the selection of this project in spite of the relatively low distress score.

Providing investment needed to increase economic efficiency: The water supply well will incorporate several components into its design and operation that will increase economic efficiency. Municipal well # 2 was highly inefficient in its operation and design being constructed over 40 years ago in 1968. The pump impeller on the old well was heavily worn and was not efficient in pumping water into the system. The well completely failed in August of 2008. Conversely, the proposed new well has been designed with new and more energy efficient pumps and motor components that will significantly reduce operation and maintenance costs for Elba. Additionally, the "temporary emergency pump" that is currently pumping water to municipal system households east of the Pea River, must run 10 to 14 hours per day to maintain the water level in the elevated storage tank on Highway 84, a distance of four (4) miles and to a much higher elevation. The elimination of pumping will greatly reduce electrical costs to the City. A telephone telemetry line is required on the pump to activate the pumping action which is an expense to the city. This telemetry could be eliminated with the new well and money can be saved. The new well will be highly energy efficient and operate 3-5 hours per day to keep the storage tank filled. The old well was inefficient and operated at 162 lbs. of pressure. The new well would be 90% energy efficient and would operate at about 50 lbs. of pressure, immediately affording greater energy efficiency and reducing energy costs and long-term operational and maintenance costs for Elba. Furthermore, the proposed new well will have an expected minimum of economic useful life of 30 to 35 years.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: Currently, the system is being served by a fire hose and a pump due to the failed well. This is not a long-term solution and because the water pressure is coming close to exceeding the pressure rating for the fire hose, the emergency is very dangerous, poses risk for contaminants to enter the system, and an even greater risk for the hose to blow and leave

much of the city without water or fire protection. The new well will provide a long-term solution and economic benefit to the city.

Minimizing or avoiding reductions in essential services: Under the current conditions that exist in Elba, this delivery of service is under an emergency at this time. It is also clear that the City of Elba's current municipal water system is relying on a "temporary, emergency" remedy due to the failure of one of its municipal supply wells. This dynamic has created an urgent and critical need for Elba. A new municipal supply well must be constructed immediately to avoid a potential community-wide reduction in essential services.

Fostering energy independence: As previously detailed throughout this narrative project description, the proposed Elba water supply well has been engineered to be of the highest energy efficiency available through the incorporation of design elements including high quality, energy efficient, U.S. made components. The proposed construction of a new supply well will greatly improve the energy efficiency and operating the cost accruing to the overall Elba municipal water system. Replacement of the failed municipal well # 2 will save in energy costs due to higher efficiency and electrical costs will be reduced as a result of shorter pumping time.

City of Guin Sewer System Extension Project

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 8 construction related jobs, 2 clerical jobs, and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Guin is located in Marion County which received a weighted distress score of 19.

Providing investment needed to increase economic efficiency: The City of Guin has examined several alternatives to the proposed project to address the needs of project area residents. These alternatives are "on-site" or advanced treatment and a low pressure system with individual grinder pumps. Since any "on-site" system would require that field lines be utilized, the same poor soil conditions that have caused failure of private septic systems would also cause the failure of a communal "on-site" low pressure system that could be installed at a lower initial cost than conventional gravity sewer, however, these systems have had a history of higher maintenance costs i.e. replacing grinder pumps, repairing septic tanks, utility costs, etc. Given these higher maintenance cost a low pressure system was determined not to be an appropriate alternative to conventional gravity sewer.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The type of materials in the project: 8" SDR-35 PV , 3" class 160 force main, 4" SDR-35 service line and precast concrete manholes with cast ion covers; are expected to have a useful life of at least 50 years. The pump station is expected to have a useful life of 25 years without major repair. The project will be of long-term benefit to the lives of the project residents.

Minimizing or avoiding reductions in essential services: The residents of the project are burdened with failing and inadequate septic tanks. The health of these residents due to the lack of public sanitary sewer is threatened. The proposed project will provide the sewer improvements necessary to the LMI community.

Fostering energy independence: The project will eliminate the need for private owners to have septic tanks located in their yards thus reducing the associated energy costs. These private systems frequently need to be pumped. This requires trucks to have to come to each individual house to remove and dispose of effluent.

Town of Lockhart Street Improvement Project (Back-up Project)

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Lockhart is located in Covington County which received a weighted distress score of 17.

Providing investment needed to increase economic efficiency: This project will positively affect the entire community of Lockhart (549 people). The proposed project's improvements of residential streets will potentially decrease the amount of automobile maintenance required by local residents for driving on deteriorated streets. The proposed project is to resurface residential streets that were last improved approximately 25 years ago. Pavement deteriorates at an exponential rate, so the streets have been worsening at an increasing rate over the past few years. The Town of Lockhart has the capacity to apply patching to streets at certain times, but not to perform a full rehabilitation of the streets. The project will allow the town to focus operational funds on other components of the town's public works, instead of continuously patching streets that do not address the fundamental deterioration problems.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: According to the consulting engineering firm, the projected useful life of the street improvements is estimated to be 25 years. This project will provide a long-term investment for a majority of the transportation facilities in Lockhart. Quality transportation facilities are a vital contribution to community development and implementation of the project will upgrade these essential arteries within the town.

Minimizing or avoiding reductions in essential services: Transportation facilities are essential services within communities. If the existing streets continue to deteriorate beyond their useful life, residents of Lockhart will have fewer options for traveling to and from their residences. This will provide a hardship to most of the residents within Lockhart.

Fostering energy independence: The street improvements will reduce wear on transportation equipment and result in improved gas mileage, contributing to energy independence.

Chambers County Fire Station Construction Project (Back-up Project)

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 12 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Chambers County received a weighted distress score of 15.

Providing investment needed to increase economic efficiency: The pre-fabricated metal building has been designed to minimize operation and maintenance costs and ensure economic efficiency. Further, the fire station will serve both the residential community and the industrial park, allowing for efficient service delivery and shared costs.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The investment of $500,000 in funds from the American Investment and Recovery Act will pay for the construction of a fire station that will improve fire protection for all residents in the southeastern section of the Huguley Water, Sewer & Fire Protection Authority's water district. Once this American made building is constructed it will be a long-term solution to the fire protection and firefighting facility needs in this community and the industrial park. Estimated useful life of the fire station to be constructed as part of this project is over 50 years.

Minimizing or avoiding reductions in essential services: By constructing a new fire station in the Cusseta Community for the Huguley Fire Department with funds from the American Recovery and Reinvestment Act will expand/improve an essential service (fire protection) to 141 homes and Cusseta Industrial Park. As a result of not having a fully staffed fire department in the southeastern section of Huguley Fire Department's coverage area, the quality of fire protection and emergency service in this area has and will continue to decline, placing lives and property at risk. By improving this essential service (fire protection) the residents and their property will be better protected.

Fostering energy independence: Ensuring that this building is as energy efficient as possible is very important to the Huguley Water, Sewer & Fire Protection Board due to the impact the increasing energy cost is having on the board's limited operating budget. The building is designed to be manufactured out of pre-fabricated steel frame that will be fabricated in an industrial plant facility and delivered to the construction site. This makes constructing the facility move faster and more economical to erect, which will result in less energy consumption during construction. In order to reduce energy consumption, the metal building has been designed to require a series of coatings on the metal that will increase the amount of solar energy that is reflected off the roof. This coating will reduce energy costs to operate this building by up to 20%. The structure will also have extra insulation in the exterior walls and the windows will be insulated glass. By including these and other energy saving materials in the construction of this fire station the Huguley Water, Sewer & Fire Protection Board will achieve energy savings in excess of 40% of a typical building this size.

Lamar County Water System Extension Project (Back-up Project)

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 7 construction related jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Lamar County received a weighted distress score of 15.

Providing investment needed to increase economic efficiency: In order to design this project in the most energy efficient manner, the water systems in the County that could serve the Bedford Community with public water service were identified. Once that determination was made, identifying the system that could serve this community without requiring a pumping station or water tank was the priority. The importance of serving this area with a water supply that does not require a pumping station or water tank will keep the cost of providing water service to this area lower and make the project more energy efficient. By eliminating the need for a tank or pump, this entire section of new waterlines will flow by gravity, which requires no energy consumption. Also, the four interconnections with existing sections of the Lamar County Water Authority's water system will create loops in the system which will allow other sections to flow by gravity taking loads off of existing pumping stations which will decrease energy consumption system-wide. In addition to being energy efficient, all of the waterlines to be installed as part of this project will be installed in the road right-of-way which will prevent premature line breaks. The placement of these waterlines in the road right-of-way will result in a significant reduction in energy consumption over the useful life of these waterlines by reducing the number of times that Lamar County Water Authority has to make repairs to these lines.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The construction of this public infrastructure will provide public water service to 40 un-served homes in Lamar County. Once this installed, it will be a long-term solution to this area's water supply problems. The estimated useful life of the infrastructure is over 50 years.

Minimizing or avoiding reductions in essential services: By extending public water service, an essential service will be provided to an un-served area, eliminating the health threat associated with the consumption of contaminated ground water. Also, the waterlines to be installed as part of this project will improve water flow to other sections of the system, which will improve essential services in these areas as well.

Fostering energy independence: This project will foster energy independence for both residents to be served by this project and the public utility. All of the Bedford Community to be served by this project will be 100% gravity fed, which means the water that flows to this area through the waterlines to be installed as part of this project will be fed by the elevation of an existing tank in Lamar County's water system. Therefore, extending public water service to these 40 homes will require no additional energy consumption. In fact, extending public water service to this area will save energy by eliminating the 40 well pumps which currently pump water from the well to these homes. The elimination of these pumps will eliminate the use of electricity that feeds these pumps.

Winston County Road Improvement Project (Back-up Project)

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs and 2 professional jobs.

Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Winston County received a weighted distress score of 15.

Providing investment needed to increase economic efficiency: The installation of appropriate base and surface materials will save the county thousands of dollars each year in maintenance costs. The county engineer conservatively estimates the county will save approximately $10,000 per year in materials necessary to patch the 8.5 miles of failing and inadequate roads in these LMI areas.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: The type construction proposed for the project consists of base stabilization through a process called Full-Depth Reclamation (FDR). After stabilizing the base layer a Double Bituminous Surface Treatment (DBST) will be installed using local limestone and emulsified asphalt. Full-depth reclamation is a relatively new and innovative process of filing and mixing existing base in-place. This process improves the efficiency of the project by eliminating the need to truck materials on and off the job. Because of the superb strength that will be achieved through FDR, the final wearing layer can be constructed of DBST which is much less costly that hot mix asphalt. The installation of appropriate base and Bituminous JG with Polymer Additive surface along with appropriate stripping is expected to accommodate traffic flows for the next 15-20 years. All improvements will be designed in accordance with Alabama Department of Transportation Standard Specifications. The project represents a major investment in the transportation infrastructure in the LMI areas of Winston County with the greatest number of LMI and the worst of road conditions faced by county residents.

Minimizing or avoiding reductions in essential services: All 273 residents of the project area are served by dangerously failing roads. The proposed project will provide necessary and safe road improvements for four LMI communities.

Fostering energy independence: The type of construction involves Full-Depth Reclamation and Double Bituminous Surface Treatment. This process is not only more economically efficient, but a more effective environmental choice as well due to the fact that the material is strengthened in place thus eliminating the need to truck materials on and off the job. Normally a base-strengthening job would entail hauling away the bad base material and hauling in new material. The FDR process will eliminate 8-10 tri-axle dump trucks on this job thus eliminating extremely high fuel costs as well as carbon dioxide emissions created from burning fossil fuels. The strength of this base allows the wearing surface to be constructed of DBST. In addition to saving money, DBST is more environmentally friendly than the alternative hot mix asphalt (petroleum based) because the emulsified asphalt in the DBST has very few volatiles and is largely made of water.

City of Vernon Sewer System Improvement Project (Back-up Project)

Preserving and creating jobs and promoting economic recovery: Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 9 construction related jobs and 1 professional job.

Assisting those most impacted by the recession: Assisting those most impacted by the recession: Each county for which ADECA received an application received a weighted economic distress score. The score was derived by ranking all counties according to the percent of employment in April of 2009, the absolute number of unemployed persons in April of 2009, and the percent change in the absolute number of unemployed persons from April of 2008 to April of 2009. Vernon is located in Lamar County which received a weighted distress score of 15.

Providing investment needed to increase economic efficiency: By reducing inflow and infiltration, the volume of stormwater treated as sewage at the treatment facility will be minimized, drastically reducing operating and maintenance costs and eliminating the need to expand the treatment facility to treat stormwater. Further, Vernon's system is currently under a consent decree and continued illegal discharges will lead to additional fines being imposed by ADEM.

Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits: Currently, the inadequacy of the sewage collection system has meant untreated sewage surcharges manholes and illegal discharges are flowing into Yellow Creek. Reducing the inflow and infiltration along the 12,000 L.F. of sewer line will reduce the harmful contaminants being released into the neighborhood and the Yellow Creek ecosystem. The improvements are expected to have a useful life of 50 years.

Minimizing or avoiding reductions in essential services: The Vernon system has undertaken system improvements in order to comply with the ADEM consent order. The current debt service, the fines, and the regular operating and maintenance costs have forced the system to use reserves. The proposed improvements will greatly reduce operating costs and minimize rate increases or reduction in service.

Fostering energy independence: The proposed improvements will reduce the amount of stormwater inundating the treatment facility, thereby, significantly reducing the cycling of the pumps and reducing the energy required to treat effluent.

(3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

State Administration

Approximately 224 construction related jobs, 5 clerical jobs, and 21 professional jobs will be created or retained as a result of the proposed projects (these figures do not include the totals form the back-up projects).

City of Winfield Water Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs, 2 clerical jobs, and 2 professional jobs.

Town of Mosses Sewer Lagoon Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs and 2 professional jobs.

Town of Collinsville Sewer System Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 10 construction related jobs and 2 professional jobs.

City of Camden Sewer System Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 13 construction related jobs, 1 clerical job, and 1 professional job.

Town of Kennedy Water Tank Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 4 construction related jobs and 1 professional job.

Town of Ashville Sewer System Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 7 construction related jobs and 2 professional jobs.

City of Florala Street Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs and 1 professional job.

City of Lineville Water Tank Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 10 construction related jobs and 1 professional job.

City of Selma Street Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 15 construction related jobs and 1 professional job.

City of Haleyville Neighborhood Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 18 construction related jobs and 2 professional jobs.

City of Lanett Sewer System Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 10 construction related jobs and 1 professional job.

Bibb County Road Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 18 construction related jobs and 2 professional jobs.

City of Bay Minette Sewer System Improvement Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs.

City of Livingston Sewer System Extension Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 15 construction related jobs.

City of Elba Water Well Construction Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 16 construction related jobs and 1 professional job.

City of Guin Sewer System Extension Project

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 8 construction related jobs, 2 clerical jobs, and 2 professional jobs.

Town of Lockhart Street Improvement Project (Back-up Project)

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs.

Chambers County Fire Station Construction Project (Back-up Project)

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 12 construction related jobs and 1 professional job.

Lamar County Water System Extension Project (Back-up Project)

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 7 construction related jobs.

Winston County Road Improvement Project (Back-up Project)

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 20 construction related jobs and 2 professional jobs.

City of Vernon Sewer System Improvement Project (Back-up Project)

Based on similar projects, estimates from design and administration professionals, and/or estimates from contractors, this project will retain approximately 9 construction related jobs and 1 professional job.

(4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

State Administration

Please see the Proposed Program Description on the ADECA website. The project selection criteria and priorities that were used to ensure that the projects selected would meet the requirements of the Recovery Act are available at the following link. http://www.adeca.alabama.gov/C4/CDBG/default.aspx

City of Winfield Water Improvement Project

The project has been designed to increase flow without the need for in-line pumping which will reduce energy consumption.

Town of Mosses Sewer Lagoon Improvement Project

The updated electrical components of the project will greatly increase the system's overall energy efficiency and reduce the amount of energy required to treat and discharge the effluent.

Town of Collinsville Sewer System Improvement Project

The project will include cured-in-place-pipe (CIPP) lining techniques that minimize the amount of construction equipment necessary to rehabilitate the sewer lines. In most cases, pavement repairs can be avoided also reducing the amount of asphalt and materials and equipment. The CIPP system may use less petroleum based products than traditional PVC lines

City of Camden Sewer System Improvement Project

Upgrades in the system are more energy efficient than the older systems to be replaced.

Town of Kennedy Water Tank Improvement Project

Rather than replacing the tanks, the town has determined that conserving resources by rehabilitation and reuse of the existing tanks is the most energy efficient way to provide services to the community.

Town of Ashville Sewer System Improvement Project

The significant amount of stormwater entering the sewer lines causes the pump/lift stations on State Highway 231 and 411 to work beyond there design capacity and for extended periods of time just to move the excess volume through the system. Once the improvements are made, the pumps will be moving volumes within the design capacity and will operate far more efficiently, consuming significantly less energy.

City of Florala Street Improvement Project

The implementation of the proposed street improvements will utilize modern construction practices to minimize excessive use of energy in street improvement activities.

City of Lineville Water Tank Improvement Project

The renovated tank will be equipped with an overflow volume meter to manage water loss, reduce operating cost, and save energy. The tank is losing 2,000,000 gallons per month due to overflow problems. The volume meter will save the City $31,200 per year in operating cost and improve energy independence.

City of Selma Street Improvement Project

The street improvements will reduce wear on transportation equipment and result in improved gas mileage, contributing to energy independence.

City of Haleyville Neighborhood Improvement Project

Improvements will result in reduction of water loss, reduction of operation and maintenance cost, reduction of time and gas expended on water leaks, and less damage to streets due to water leaks. The storm water drainage system will be improved by installing curb and gutter, valley gutters, and stormwater culverts underneath the existing road crossings to end and prevent roadway flooding.

City of Lanett Sewer System Improvement Project

Costs associated with the operation and maintenance of the upgraded pump station will require considerably less to maintain and operate than the 30 year old station. The upgrade includes two energy efficient pumps. During normal flows only one pump will be in operation, thereby, saving energy and allowing access for maintenance on the idle pump without service interruptions. Up to date electrical controls and energy efficient pumps will further add to energy savings and long-term cost savings.

Bibb County Road Improvement Project

The road improvements will reduce wear on transportation equipment and result in improved gas mileage, contributing to energy independence.

City of Bay Minette Sewer System Improvement Project

The upgrades will include more energy efficient pumps and electrical systems. The new pump stations will have modern mechanical and electrical equipment that is Energy Star rated energy efficient.

City of Livingston Sewer System Extension Project

The new school facility will feature the following types of energy saving technologies: high efficiency HVAC; low flow plumbing with motion detectors to reduce water consumption and, therefore sewage production; low reflectivity, double-pane, insulated glass to reduce heat gain; light colored and reflective roofing materials; durable and long lasting materials and finishes to reduce long-term O&M costs; energy efficient lighting; building energy management systems; maximum insulation in walls and roofs to improve R-values.

City of Elba Water Well Construction Project

The Elba water supply well will be engineered to be of the highest energy efficient available through the incorporation of design elements including high quality energy efficient U.S. made components. The proposed construction of a new supply well will greatly improve the energy efficiency and operating cost accruing to the overall Elba municipal water system. Replacement of the failed municipal well # 2 will save in energy costs due to higher efficiency and electrical costs will be reduced as a result of shorter pumping time.

City of Guin Sewer System Extension Project

The project will eliminate the need for private owners to have septic tanks located in their yards thus reducing the energy costs associated. These private systems frequently need to be pumped. This requires trucks to have to come to each individual house to remove and dispose of effluent.

Town of Lockhart Street Improvement Project (Back-up Project)

The street improvements will reduce wear on transportation equipment and result in improved gas mileage, contributing to energy independence.

Chambers County Fire Station Construction Project (Back-up Project)

Ensuring that this building is as energy efficient as possible is very important to the Huguley Water, Sewer & Fire Protection Board due to the impact the increasing energy cost is having on the board's limited operating budget. The building is designed to be manufactured structural steel frame pre-fabricated building that will be fabricated in an industrial plant facility and delivered to the construction site. This makes constructing the facility move faster and more economical to erect, which will result in less energy consumption during construction. In order to reduce energy consumption, the metal building has been designed to require a series of coatings on the metal that will increase the amount of solar energy that is reflected off the roof. This coating will reduce energy costs to operate this building by up to 20%. The structure will also have extra insulation in the exterior walls and the windows will be insulated glass. By including these and other energy saving materials in the construction of this fire station the Huguley Water, Sewer & Fire Protection Board will achieve energy savings in excess of 40% of a typical building this size.

Lamar County Water System Extension Project (Back-up Project)

This project will foster energy independence for both residents to be served by this project and the public utility. As stated previously all of the Bedford Community to be served by this project will be 100% gravity fed, which means the water that flows to this area through the waterlines to be installed as part of this project will be fed by the elevation of an existing tank in Lamar County's water system. Therefore, extending public water service to these 40 homes will require no additional energy consumption. In fact, extending public water service to this area will save energy by eliminating the 40 well pumps which currently pump water from the well to these homes. The elimination of these pumps will eliminate the use of electricity that feeds these pumps.

Winston County Road Improvement Project (Back-up Project)

The type of construction involves Full-Depth Reclamation and Double Bituminous Surface Treatment. This process is not only more economically efficient, but a more effective environmental choice as well due to the fact that the material is strengthened in place thus eliminating the need to truck materials on and off the job. Normally a base-strengthening job would entail hauling away the bad base material and hauling in new material. The FDR process will eliminate 8-10 tri-axle dump trucks on this job thus eliminating extremely high fuel costs as well as carbon dioxide emissions created from burning fossil fuels. The strength of this base allows the wearing surface to be constructed of DBST. In addition to saving money, DBST is more environmentally friendly than the alternative hot mix asphalt (petroleum based) because the emulsified asphalt in the DBST has very few volatiles and is largely made of water.

City of Vernon Sewer System Improvement Project (Back-up Project)

The proposed improvements will reduce the amount of stormwater inundating the treatment facility, thereby, significantly reducing the cycling of the pumps and reducing the energy required to treat effluent.

(5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

State Administration

Maureen Neighbors, Program Supervisor
Alabama Department of Economic and Community Affairs
Community and Economic Development Programs
P.O. Box 5690
Montgomery, AL 36103-5690
(334) 242-5467
maureen.neighbors@adeca.alabama.gov

City of Winfield Water Improvement Project

Wayne Silas, Mayor
City of Winfield
P.O. Drawer 1438
Winfield, AL 35594
(205) 487-4337
cityclerk@dlis.net

Kenneth Brooks, Project Administrator
NACOLG
P.O. Box 26023
Muscle Shoals, AL 35662
(256) 389-0551
kbrooks@nwscc.edu

Town of Mosses Sewer Lagoon Improvement Project

Walter S. Hill, Mayor
Town of Mosses
P.O. Box 296
Mosses, AL 36040-0296
(334) 563-9141

Stacey Webb, Project Administrator
South Central Alabama Development Commission
5900 Carmichael Place
Montgomery, AL 36117
(334) 244-6903
stacey.webb@adss.alabama.gov

Town of Collinsville Sewer System Improvement Project

Johnny Traffanstedt, Mayor
Town of Collinsville
P.O. Drawer N
Collinsville, AL 35961
(256) 524-2135

Olan M. Morton, Project Administrator
Morton and Associates
200 E. McKinney Avenue
Albertville, AL 35950
(256) 878-5222
ctyplnr@yahoo.com

City of Camden Sewer System Improvement Project

Henrietta Blackmon, Mayor
City of Camden
223-A Claiborne Street
Camden, AL 36726
(334) 682-4603
mayorofcamden@frontiernet.net

Robert L. Watts, Project Administrator
Community Development Group, Inc.
740 Sweet Ridge Road
Prattville, AL 36066
(334) 361-4640
Bw.cdgroup@charter.net

Town of Kennedy Water Tank Improvement Project

Ray Holsonback, Mayor
Town of Kennedy
P.O. Box 70
Kennedy, AL 35574
(205) 596-3670
Vice1@frontiernet.net

Kevin Kessler, Project Administrator
Community Consultants
7 Town Center Drive #302
Huntsville, AL 35806
(256) 890-4240
kkessler@bellsouth.net

Town of Ashville Sewer System Improvement Project

Robert McKay, Mayor
Town of Ashville
P.O. Drawer 70
Ashville, AL 35953
(205) 594-4151
cityofashville@gmail.com

Terry Acuff, Project Administrator
Community Consultants
7 Town Center Drive #302
Huntsville, AL 35806
(256) 890-4240
acuff@mindspring.com

City of Florala Street Improvement Project

Robert Williamson, Mayor
City of Florala
P.O. Box 351
Florala, AL 36442
(334) 858-3612
floralacity@fairpoint.net

Scott Farmer, Project Administrator
SEARP&DC
P.O. Box 1406
Dothan, AL 36302
(334) 794-4093
sfarmer@searpdc.org

City of Lineville Water Tank Improvement Project

Roy Adamson, Mayor
City of Lineville
P.O. Box 247
Lineville, AL 36266
(256) 396-2581
linevillecity@century.net

Ken Towry, Project Administrator
The Towry Company
9089 Pinewood Ave.
Elberta, AL 36530
(256) 738-2170
towryco@gulftel.com

City of Selma Street Improvement Project

George P. Evans, Mayor
City of Selma
P. O. Box 450
Selma, AL 36702
(251) 874-2100

Janey Galbraith, Project Administrator
Galbraith & Associates, LLC
1900 Schillinger Road South
Mobile, AL 36695
(251) 633-6727
grantslady@msn.com

City of Haleyville Neighborhood Improvement Project

Ken Sunseri, Mayor
City of Haleyville
1901 11th Ave.
Haleyville, AL 35565
(205) 486-3121

Peggy Matthews, Project Manager
Community Consultants
7 Town Center Drive, Suite 302
Huntsville, AL 35806
(256) 890-4240
pjmatthews@bellsouth.net

City of Lanett Sewer System Improvement Project

Oscar Crawley, Mayor
City of Lanett
P. O. Box 290
Lanett, AL 36863
(334) 644-2141
mayor@cityoflanett.com

Ken Towry, Project Administrator
The Towry Company
9089 Pinewood Ave.
Elberta, AL 36530
(256) 738-2170
towryco@gulftel.com

Bibb County Road Improvement Project

Walter D. Sansing, Sr., Chairman
Bibb County Commission
157 S. W. Davidson Dr.
Centreville, AL 35042
(205) 926-3114

Peggy Matthews, Project Administrator
Community Consultants
7 Town Center Drive, Suite 302
Huntsville, AL 35806
(256) 890-4240
pjmatthews@bellsouth.net

City of Bay Minette Sewer System Improvement Project

Jamie Tillery, Mayor
City of Bay Minette
P. O. Box 1208
Bay Minette, AL 36507
(251) 580-1619

Janey Galbraith, Project Administrator
Galbraith & Associates, LLC
1900 Schillinger Road South
Mobile, AL 36695
(251) 633-6727
grantslady@msn.com

City of Livingston Sewer System Extension Project

Thomas M. Tartt, III, Mayor
City of Livingston
P. O. Drawer W
Livingston, AL 35470
(205) 653-2505
livingstonal@bellsouth.net

Robert L. Watts, Project Administrator
Community Development Group, Inc.
740 Sweet Ridge Road
Prattville, AL 36066
(334) 361-4640
Bw.cdgroup@charter.net

City of Elba Water Well Construction Project

James E. Grimes, Mayor
City of Elba
200 Buford Street
Elba, AL 36323
(334) 897-2333
elbacity@charter.net

Thomas B. Solomon, Project Administrator
SEARP&DC
P.O. Box 1406
Dothan, AL 36302
(334) 794-4093
tsolomon@searpdc.org

City of Guin Sewer System Extension Project

Phil Segraves, Mayor
City of Guin
P. O. Box 249
Guin, AL 35563
(205) 468-2242
cityhall@guinal.org

Kenneth Brooks, Project Administrator
NACOLG
P.O. Box 26023
Muscle Shoals, AL 35662
(256) 389-0551
kbrooks@nwscc.edu

Town of Lockhart Street Improvement Project (Back-up Project)

Eugene Birge, Mayor
Town of Lockhart
P. O. Box 216
Lockhart, AL 36455
(334) 858-6744

Scott Farmer, Project Administrator
SEARP&DC
P. O. Box 1406
Dothan, AL 36302
(334) 794-4093
sfarmer@searpdc.org

Chambers County Fire Station Construction Project (Back-up Project)

Jack Bunn, Chairman
Chambers County Commission
2 South Lafayette St.
Lafayette, AL 35953
(334) 864-4341
dhoyt@chamberscounty.com

Terry Acuff, Project Administrator
Community Consultants
7 Town Center Drive #302
Huntsville, AL 35806
(256) 890-4240
acuff@mindspring.com

Lamar County Water System Extension Project (Back-up Project)

Johnny Rodgers, Chairman
Lamar County Commission
P. O. Box 338
Vernon, AL 35592
(205) 695-7333
lamarcounty@hotmail.com

Terry Acuff, Project Administrator
Community Consultants
7 Town Center Drive #302
Huntsville, AL 35806
(256) 890-4240
acuff@mindspring.com

Winston County Road Improvement Project (Back-up Project)

Roger Hayes, Chairman
Winston County Commission
P. O. Box 147
Double Springs, AL 35553
(205) 489-5026
winstonco@hotmail.com

Kenneth Brooks, Project Administrator
NACOLG
P.O. Box 26023
Muscle Shoals, AL 35662
(256) 389-0551
kbrooks@nwscc.edu

City of Vernon Sewer System Improvement Project (Back-up Project)

Dupree Pennington, Mayor
City of Vernon
P.O. Box 357
Vernon, AL 35592
(205) 695-7718
Rwc1949@hotmail.com

Terry Acuff, Project Administrator
Community Consultants
7 Town Center Drive #302
Huntsville, AL 35806
(256) 890-4240
acuff@mindspring.com

C. PUBLIC COMMENT

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

Note: A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

Response:

The State of Alabama posted on its website, advertised, and notified via email that a public hearing would be held on April, 30, 2009 to discuss the proposed Substantial Amendment to the State's 2008 Consolidated Plan to incorporate the CDBG-R program. The public hearing was held and minutes and attendance roster are on file in the CDBG-R program files at 401 Adams Avenue, Montgomery, Alabama. No comments were received at the public hearing or during the comment period.

Once the HUD guidelines became available, a revision to the original proposed Substantial Amendment was posted on the ADECA website and was sent via email to all the attendees of the public hearing, via email to the grant administrator general distribution list, and by U.S. mail to Alabama's non-entitlement cities and counties on May 8, 2009. All communities were asked to post the English and Spanish translations of the revised proposed Substantial Amendment in the County Courthouse/City Hall. Those communities anticipating an application were asked to include a proposed project description, in both English and Spanish, with their posting. No comments were received during the comment period that expired at Noon on May 18, 2009.

The application materials were posted on the ADECA website. Applications were received until Noon on June 3, 2009. Applications were reviewed and scored based on stated criteria and a list of proposed projects was added to the proposed Substantial Amendment. The draft Substantial Amendment and spreadsheet were posted on the ADECA website in English and Spanish.

Three comments were received. One was regarding the application for the City of Childersburg. In summary, the comment identified that a portion of the proposed activities were part of a previously funded CDBG project. Upon verification of the information, the State determined to re-rate the project, reduce its score, and select the next project on the list (Guin) to move onto the proposed funding list. The second two comments were regarding the Clay County application. The comments asked the State to reconsider selecting the Clay County project based on the need of the residents to be served. The response in both cases was that the State considered the evaluation process to be fair and consistent and could not re-rate the Clay County project in order to accommodate their request. The three comments and the State's responses are found in Attachment 2 at the end of this Substantial Amendment.

CDBG-R Substantial Amendment

Grantee Checklist

For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.

Contents of a CDBG-R Action Plan Substantial Amendment

Jurisdiction(s): State of Alabama Jurisdiction Web Address: • *http://www.adeca.alabama.gov/C4/CDBG/default.aspx*	CDBG-R Contact Person: Shabbir Olia Address: P.O. Box 5690, Montgomery, Alabama 36103-5690 Telephone: (334) 242-5468 Fax: (334) 353-3527 Email: shabbir.olia@adeca.alabama.gov

The elements in the substantial amendment required for the CDBG recovery funds are:

A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes☒ No☐ Verification found on page **Attachment 1**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes☒ No☐ Date Spreadsheet was emailed: **June 29, 2009**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,
  Yes☒ No☐ Verification found on page(s) **Attachment 1**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),
  Yes☒ No☐ Verification found on page(s) **Attachment 1**
- the CDBG national objective citation,
  Yes☒ No☐ Verification found on page(s) **Attachment 1**

B. CDBG-R INFORMATION BY ACTIVITY

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,
  Yes☒ No☐ Verification found on page(s) **4-21**

- projected number of jobs created for each activity,
  Yes☒ No☐ Verification found on page(s) **4-21 and 22-24**

- whether an activity will promote energy efficiency and conservation,
  Yes☒ No☐ Verification found on page(s) **4-21 and 24-27**

- the name, location, and contact information for the entity that will carry out the activity,
  Yes☒ No☐ Verification found on page(s) **28-35**

- evidence that no more than 10% of the grant amount will be spent on administration and planning,
  Yes☒ No☐ Verification found on page (s) **2-3**

- evidence that no more than 15% of the grant amount will be spent on public services,
  Yes☒ No☐ Verification found on page (s) **4-21**

- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,
  Yes☒ No☐ Verification found on page (s) **4-21**

C. PUBLIC COMMENT PERIOD

Was the proposed action plan amendment published via the jurisdiction's usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes☒ No☐. Verification found on page(s) **36**

Is there a summary of citizen comments included in the final amendment?

Yes☒ No☐ Verification found on page(s) **36 and Attachment 2**

D. CERTIFICATIONS

The following certifications are complete and accurate:

(1) Affirmatively furthering fair housing	Yes☐	No☐
(2) Anti-displacement and relocation plan	Yes☐	No☐
(3) Drug-free Workplace	Yes☐	No☐
(4) Anti-lobbying	Yes☐	No☐
(5) Authority of jurisdiction	Yes☐	No☐
(6) Consistency with plan	Yes☐	No☐
(7) Section 3	Yes☐	No☐

(8) Community development plan	Yes☐	No☐
(9) Following a plan	Yes☐	No☐
(10) Use of Funds	Yes☐	No☐
(11) Excessive Force	Yes☐	No☐
(12) Compliance with anti-discrimination laws	Yes☐	No☐
(13) Lead-based paint procedures	Yes☐	No☐
(14) Compliance with laws	Yes☐	No☐
(15) Compliance with ARRA	Yes☐	No☐
(16) Project selection	Yes☐	No☐
(17) Timeliness of infrastructure investments	Yes☐	No☐
(18) Buy American provision	Yes☐	No☐
(19) Appropriate use of funds for infrastructure investments	Yes☐	No☐
(20) 70% of CDBG-R for LMI	Yes☐	No☐
Optional Certification		
(21) Urgent Need	Yes☐	No☐

D. STATE CERTIFICATIONS

The following certifications are complete and accurate:

(1) Affirmatively furthering fair housing	Yes☒	No☐
(2) Anti-displacement and relocation plan	Yes☒	No☐
(3) Drug-free Workplace	Yes☒	No☐
(4) Anti-lobbying	Yes☒	No☐
(5) Authority of State	Yes☒	No☐
(6) Consistency with plan	Yes☒	No☐
(7) Section 3	Yes☒	No☐
(8) Community development plan	Yes☒	No☐
(9) Consultation with Local Governments	Yes☒	No☐
(10) Use of Funds	Yes☒	No☐
(11) Excessive Force	Yes☒	No☐
(12) Compliance with anti-discrimination laws	Yes☒	No☐
(13) Compliance with laws	Yes☒	No☐
(14) Compliance with ARRA	Yes☒	No☐
(15) Project selection	Yes☒	No☐
(16) Timeliness of infrastructure investments	Yes☒	No☐
(17) Buy American provision	Yes☒	No☐
(18) Appropriate use of funds for infrastructure investments	Yes☒	No☐
(19) 70% of CDBG-R for LMI	Yes☒	No☐
Optional Certification		
(20) Urgent Need	Yes☐	No☒

Attachment 1
CDBG-R Activity Data Spreadsheet

State of Alabama
Substantial Amendment to PY2008 Consolidated Plan
CDBG-R

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00					Date: June 19, 2009
Activity Name	***Activity Description***	***Eligibility (Regulatory or HCDA Citation)***	***National Objective Citation***	***CDBG-R Project Budget ($)***	***Additional Recovery Funds ($)***	***Other Leveraged Funding ($)***	***Total Activity Budget***
State Administration		Section 105(a)(13) Program Administration Costs		$246,730	$0	$0	$246,730
City of Winfield Water and Street Improvement Project	Located in Marion County, this project will provide adequate public water service by replacing 2" lines, by installing 17, 500' L.F. of 6" PVC and related appurtenances, installing 6 fire hydrants, reconstructing and paving Ember Drive, and conducting associated activities and installing associated appurtenances. This project will benefit approximately 143 persons, 82.51% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	391,103	0	0	$391,103
Town of Mosses Sewer Lagoon Improvement Project	Located in Lowndes County, this project will include dredging the primary lagoon cell, installing an aerator system and biologic treatment module, upgrading electrical systems, and conducting associated activities and installing associated appurtenances. This project will benefit approximately 129 persons, 100% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00				Date: June 19, 2009	
Activity Name	*Activity Description*	*Eligibility (Regulatory or HCDA Citation)*	*National Objective Citation*	*CDBG-R Project Budget ($)*	*Additional Recovery Funds ($)*	*Other Leveraged Funding ($)*	*Total Activity Budget*
Town of Collinsville Sewer System Improvement Project	Located in DeKalb County, this project will provide improved sewage collection by lining approximately 5,318 L.F. of 10" VCP gravity sewer, lining approximately 2,590 L.F. of 8" VCP gravity sewer, replacing approximately 316 L.F. of 10" VCP with 10" PVC, rehabilitating approximately 42 manholes, and conducting associated activities and installing associated appurtenances. This project will benefit approximately 1,461 persons, 53.7% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$7,124	$507,124
City of Camden Sewer System Improvement Project	Located in Wilcox County, this project will improve sewage collection and treatment facilities by rehabilitating approximately 3,700 L.F. of 12" VCP, installing 4 UV systems, installing 4 bar screen systems, and conducting associated activities and installing associated appurtenances. This project will benefit approximately 2,183 persons, 54.3% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000
Town of Kennedy Water Tank Improvement Project	Located in Lamar County, this project will rehabilitate both of the system's water storage tanks, conduct, associated activities, and install associated appurtenances. This project will benefit approximately 417 persons, 61% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$141,000	$0	$0	$141,000

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00					Date: June 19, 2009
Activity Name	**Activity Description**	**Eligibility (Regulatory or HCDA Citation)**	**National Objective Citation**	**CDBG-R Project Budget ($)**	**Additional Recovery Funds ($)**	**Other Leveraged Funding ($)**	**Total Activity Budget**
Town of Ashville Sewer System Improvement Project	Located in St. Clair County, this project will rehabilitate the sewage collection system including approximately lining 3,632 L.F. of 8" sanitary sewer main, repairing 15 manholes, installing new 4" PVC, installing associated appurtenances, and conducting associated activities. This project will benefit approximately 2,213 people, 53.2% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000
City of Florala Street Improvement Project	Located in Covington County, this project will include resurfacing West Fifth Avenue and Juniper Street, installing concrete curb and gutter, and conducting associated activities and installing associated appurtenances. This project will benefit approximately 64 persons, 90% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$270,223	$0	$10,000	$280,223
City of Lineville Water Tank Improvement Project	Located in Clay County, this project will include the renovation of a 250,000 gallon water tank, foundation repairs, installation of overflow volume metering, and conducting associated activities and installing associated appurtenances. The project will benefit approximately 1,192 persons, 56.96% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$264,316	$0	$0	$264,316

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00					Date: June 19, 2009
Activity Name	**Activity Description**	**Eligibility (Regulatory or HCDA Citation)**	**National Objective Citation**	**CDBG-R Project Budget ($)**	**Additional Recovery Funds ($)**	**Other Leveraged Funding ($)**	**Total Activity Budget**
City of Selma Street Improvement Project	Located in Dallas County, this project will reconstruct J. L. Chestnut Boulevard including resurfacing, installing curb and gutter, and conducting associated activities and installing associated appurtenances. The project will benefit approximately 19,914 persons, 54.5% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000
City of Haleyville Neighborhood Improvement Project	Located in Winston County, this project will include resurfacing, installation of curb and gutter, installation of valley gutter, installation of 2"-6" PVC water lines, fire hydrants, and conducting associated activities and installing associated appurtenances. This project will benefit approximately 139 persons, 100% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000
City of Lanett Sewer System Improvement Project	Located in Chambers County, this project will abandon and demolish the existing lift station, install 1 10' concrete wet well with epoxy liner, install 2 submersible 60 HP pumps, and conduct associated activities and install associated appurtenances. This project will benefit approximately 599 persons, 63.1% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$475,000	$0	$0	$475,000

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00					Date: June 19, 2009
Activity Name	**Activity Description**	**Eligibility (Regulatory or HCDA Citation)**	**National Objective Citation**	**CDBG-R Project Budget ($)**	**Additional Recovery Funds ($)**	**Other Leveraged Funding ($)**	**Total Activity Budget**
Bibb County Road Improvement Project	This project will resurface approximately 2.75 miles of deteriorated sections of County Road 83 and will conduct associated activities and install associated appurtenances. The project will benefit approximately 122 persons, 95.4% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$462,190	$0	$0	$462,190
City of Bay Minette Sewer System Improvement Project	Located in Baldwin County, this project will replace two of the city's sewage pump stations (Railroad and Dobson) including the demolition of the existing wells, installation of 2 wet wells, lining of approximately 1,650 L.F. of 8" sewer lines, manhole rehabilitation, and conducting associated activities and installing associated appurtenances. The project will benefit approximately 6,883 persons, 55.3% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$100,000	$600,000
City of Livingston Sewer System Extension Project	Located in Sumter County, this project will involve the installation of a pump station and approximately 5,300 L.F. of new 8" gravity sewer and appurtenances to serve the new Sumter County High School. The project will benefit approximately 14,543 persons, 60.4% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$45,700	$545,700

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00					Date: June 19, 2009
Activity Name	***Activity Description***	***Eligibility (Regulatory or HCDA Citation)***	***National Objective Citation***	***CDBG-R Project Budget ($)***	***Additional Recovery Funds ($)***	***Other Leveraged Funding ($)***	***Total Activity Budget***
City of Elba Water Well Construction Project	Located in Coffee County, this project will include the construction of one deep water supply well to replace the failed well number 2. Activities will include drilling a test hole, test well with pumping and water analysis, well construction, electrical controls, and other associated appurtenances and activities. This project will benefit approximately 3,816 person, 54.7% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$438,874	$0	$111,600	$550,474
City of Guin Sewer System Extension Project	Located in Marion County, this project will provide new public sewer to the Woodland Heights subdivision. The project will include the installation of approximately 8,000 L.F. of 8" sewer main, 4,000 L.F. of 3" force main, 5,000 L.F. 4" service lines, 30 manholes, one pump station, and necessary appurtenances. The project will serve approximately 45 persons, 51% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$360,000	$0	$0	$360,000
	The Alabama Department of Economic and Community Affairs intends to require recipients to be under contract within 75 days of the grant agreement. If in the opinion of the State, one or more of the above projects is not progressing at the rate necessary to meet the required 75-day deadline to be under construction, the State will award the funds to one of the five "back-up" projects listed below. Also, should there be cost under runs associated with the individual projects, the State reserves the right to redistribute the funds among the projects listed above or to fund one or more of the projects listed below.						

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00					Date: June 19, 2009
Activity Name	**Activity Description**	**Eligibility (Regulatory or HCDA Citation)**	**National Objective Citation**	**CDBG-R Project Budget ($)**	**Additional Recovery Funds ($)**	**Other Leveraged Funding ($)**	**Total Activity Budget**
Town of Lockhart Street Improvement Project (Back-up Project)	Located in Covington County, this project will resurface Caddo, Oneida, Mohawk, Shawnee, and Navaho streets, as well as Kickapoo Avenue. The project will conduct associated activities and install associate appurtenances. The project will benefit approximately 549 persons, 52.82% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000
Chambers County Fire Station Construction Project (Back-up Project)	This project will construct a fire station with 2 bays (4 doors) to accommodate drive through facility, the 2,632 S.F. bays will be heated. The station will include administrative offices, sleeping and living quarters, and a kitchen. The project will benefit approximately 310 persons, 69.2% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000
Lamar County Water System Extension Project (Back-up Project)	This project will include the installation of 8 fire hydrants, 33,000 L.F. of new 6" PVC water lines, 12,500 L.F. of new 3" PVC water lines, connections for the 23 income eligible households, and conducting associated activities and installing associated appurtenances. The project will benefit approximately 92 persons, 57.89% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$439,900	$0	$0	$439,900

CDBG-R

Activity Data Spreadsheet

Jurisdiction/Grantee Name: State of Alabama PROPOSED		CDBG-R Formula Grant Amount: $7,049,436.00					Date: June 19, 2009
Activity Name	**Activity Description**	**Eligibility (Regulatory or HCDA Citation)**	**National Objective Citation**	**CDBG-R Project Budget ($)**	**Additional Recovery Funds ($)**	**Other Leveraged Funding ($)**	**Total Activity Budget**
Winston County Road Improvement Project (Back-up Project)	This project will consist of reconstructing and paving approximately 8.5 miles of County Roads 23, 59, 95, and 106. The project will benefit approximately 273 persons, 82% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$0	$500,000
City of Vernon Sewer System Improvement Project (Back-up Project)	This project will consist of rehabilitating and replacing approximately 12,000 L.F. of sewer line. The project will benefit approximately 165 persons, 88.5% of these are LMI.	Section 105(a)(2) Public Facilities and Improvements and Section 105(a)(13) Program Administration Costs	Section 102 (a)(20) Activities Benefitting L/M Income Persons	$500,000	$0	$270,000	$770,000

Attachment 2
Citizen Participation Comments and Responses

State of Alabama
Substantial Amendment to PY2008 Consolidated Plan
CDBG-R

June 25, 2009

Shabbir Olia
Alabama Department of Economic and Community Affairs
PO Box 5690
Montgomery, AL 36103-5690

RE: Clay County water grant

When you visit the Shinbone Valley area in North-west Clay County, you are in the foothills of the Appalachians and the shadow of Cheaha Mountain. When you stop and talk to the residents, you will find one constant. They all say, "We need water!" I have seen five residences getting water from one low output well. Five houses sharing water from one small well. Others put pumps in open streams and pipe it some distance to their homes.

They were greatly disappointed to hear that their project was not worthy of funding. I respectfully ask that you reconsider and approve this worthy project based on these reasons:
#Unsafe and insufficient private water sources
#Available supply of public water that simply needs to be extended to this area
#Clay County having one of the highest unemployment rates in Alabama
#Project would create almost 30 desperately needed jobs
#Long term impact of this project will be felt for generations to come
#Eliminate the need for electric well pumps, thus saving energy

This grant would extend an outside water source (Oxford water) into Clay County which could give the whole county an alternate source of water during a drought such as we just recently experienced.

I would like to close by asking you three questions. How can we say that we have the good of the people in mind in modern day Alabama, when we are forcing people to drink from wells that have been documented to be contaminated with fecal coli form and e.coli bacteria? How will the State of Alabama Department of Economic and Community Affairs look to the rest of the world, when someone is harmed or dies because of the denial of this water grant? Would you drink this water? Our residents have to!

Sincerely,

Roy H Johnson
Commissioner, District Two
Clay County Commission

CC Governor Bob Riley
Bill Johnson
Gerald Dial
Representative Richard Laird

June 25, 2009

Charles L. Morrow, Chief
Shinbone Valley Volunteer Fire Department
Highway 49
Lineville, Alabama 36266

Dear Chief Morrow:

RE: Clay County CDBG-R Application

We are in receipt of you letter sent via e-mail on June 24, 2009. Thank you for your interest in the CDBG-R program. We received 115 applications requesting over $50,552,537. The State was only able to select 16 projects to recommend for funding to HUD. Of the 99 projects that were not included on the list to HUD, the overwhelming majority were good projects, deserving of funding. Unfortunately, there were not enough funds to reach all of the projects that were worthy of funding. However, every attempt was made to evaluate the applications and score them in a fair and consistent manner.

Our rating process included a formula for weighting the economic distress of each county submitting an application. The factors considered were the unemployment rate for April, 2009 (this particular factor was given twice the weight of the other two factors), the absolute number of unemployed persons in April, 2009, and the percent change in the absolute number of unemployed person from April, 2008, to April, 2009. Additionally, each project was awarded a timeliness score based on the evidence presented regarding the completeness of designs, environmental clearance, and acquisition. Finally, each score was given an appropriateness score which was based on an evaluation of how well each project met the priorities established by the U.S. Department of Housing and Urban Development and the American Recovery and Reinvestment Act, the geographic distribution of projects, staff review, and site visits.

The Clay County project appeared to be an eligible project and it did receive a site visit. It scored a respectable 41. In fact, the lowest scoring project to be recommended for funding scored only two points more.

While we understand your disappointment, we are unable to change our rating and review process to accommodate your request. Our announcement was made as early as possible so that unsuccessful communities could take advantage of our regular CDBG program.

Chairman Kiser of the Clay County Commission has been informed that the project may be resubmitted as a CDBG County Fund Competitive application by the June 26, 2009, deadline.

Again, thank you for your interest in the CDBG-R program.

Sincerely,

Bill Johnson
Director

BJ:MEN:sj

Shinbone Valley Volunteer Fire Department
Hwy 49 Lineville, AL 36266

Shabbir Olia

Alabama Department of Economic and Community Affairs

P.O. Box 5690

Montgomery, AL 36103-5690

RE: Clay County CDBG-R Application

On behalf of the residents of the Shinbone Community located in Lineville, Alabama, I do hereby protest the decision made by Alabama Department of Economic and Community Affairs, to not award funding for the construction of new water lines to serve the residents of our community.

The intent of this project is to extend water service to the people of this community who are in desperate need of potable water. Our citizens are in desperate need of a reliable source of clean water and deserve to have it. Many of the wells in our community are hand dug and are very low due to drought conditions resulting from below average rainfall over the past few years. Many households had to pay to have new wells drilled this past year only to have them go dry also. All of the households in this community have septic tanks for waste water. This contributes to contamination of well water and other open water sources. Several homes in this community pump their water from open water sources such as streams (See Photos 1 & 2), which are susceptible to contamination from livestock, wild animals, construction, and motor vehicle traffic. The majority of wells and other water sources in the community have tested positive for deadly bacteria such as fecal coli form and e. coli. Some wells are shared by as many as nine households (See Photos 3-8) and exposed waterlines run through pastures and watering holes used by cattle (Photo 6). Often these waterlines are broken by cattle stepping on them, and not only do they have to be repaired, the water inside is contaminated. Many residents purchase bottled water for drinking and cooking and others haul water from other sources because their water is not suitable for drinking.

It is our understanding that one of the purposes for this grant is to stimulate the economy through measures that modernize the Nation's infrastructure and that HUD strongly urged grantees to use these funds for hard development costs associated with infrastructure activities that provide basic services to residents. Public water service is one of the most essential public infrastructures and is required to effectively fight fires.

According to the Substantial Amendment to the State of Alabama's 2008 PY CDBG Action Plan, the priorities identified are:

- Preserving and creating jobs and promoting economic recovery;

(this project would have created an estimated 29 jobs)

- Assisting those most impacted by the recession;

  (Clay County's unemployment rate is currently 13.9%, 9th highest in the state)

- Providing investment needed to increase economic efficiency;

  (this investment would increase economic efficiency by decreasing the cost of obtaining water that is safe to drink)

- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;

  (the long term impact from this project is far greater than 15 years)

- Minimizing or avoiding reductions in essential services;

  (this project will minimize and avoid reductions in one of the most essential services; water supply)

- Fostering energy independence

  (power usage will be reduced through by replacing the 66 wells with public water supply)

The project was submitted prior to the deadline and was literally "shovel ready" in every aspect. Timely implementation is not a problem and environmental concurrences have been secured. Project design has been completed and no acquisition is required. The only step left before putting the shovel in the ground is to solicit bids. This could be done well within the 75 day deadline. Approximately 29 full-time jobs would be created or retained in a county with a 13.9% unemployment rate, one of the greatest in the State of Alabama. Fifty-eight percent of the households in this project fall into the LMI category.

As a community, we would like to ask that you reconsider your decision and include this project to be funded with CDBG-R grant money.

Sincerely,

Charles L. Morrow
Chief, Shinbone Valley Fire Department

June 25, 2009

Roy H. Johnson, Commissioner
Clay County Commission
Post Office Box 187
Ashland, Alabama 36251

Dear Commissioner Johnson:

RE: Clay County CDBG-R Application

We are in receipt of you letter sent via e-mail on June 25, 2009. Thank you for your interest in the CDBG-R program. We received 115 applications requesting over $50,552,537. The State was only able to select 16 projects to recommend for funding to HUD. Of the 99 projects that were not included on the list to HUD, the overwhelming majority were good projects, deserving of funding. Unfortunately, there were not enough funds to reach all of the projects that were worthy of funding. However, every attempt was made to evaluate the applications and score them in a fair and consistent manner.

Our rating process included a formula for weighting the economic distress of each county submitting an application. The factors considered were the unemployment rate for April, 2009 (this particular factor was given twice the weight of the other two factors), the absolute number of unemployed persons in April, 2009, and the percent change in the absolute number of unemployed person from April, 2008, to April, 2009. Additionally, each project was awarded a timeliness score based on the evidence presented regarding the completeness of designs, environmental clearance, and acquisition. Finally, each score was given an appropriateness score which was based on an evaluation of how well each project met the priorities established by the U.S. Department of Housing and Urban Development and the American Recovery and Reinvestment Act, the geographic distribution of projects, staff review, and site visits.

The Clay County project appeared to be an eligible project and it did receive a site visit. It scored a respectable 41. In fact, the lowest scoring project to be recommended for funding scored only two points more.

While we understand your disappointment, we are unable to change our rating and review process to accommodate your request. Our announcement was made as early as possible so that unsuccessful communities could take advantage of our regular CDBG program.

Chairman Kiser of the Clay County Commission has been informed that the project may be resubmitted as a CDBG County Fund Competitive application by the June 26, 2009, deadline.

Again, thank you for your interest in the CDBG-R program.

Sincerely,

Bill Johnson
Director

BJ:MEN:sj

Olia, Shabbir

From: Olia, Shabbir
Sent: Tuesday, June 23, 2009 3:17 PM
To: Johnson, Bill
Subject: FW: CDBG-R Childersburg

Bill:

Based on Maureen's email below, I recommend Childersburg project be re-rated and removed from the approved list if the score drops. We also have a long standing policy that if construction begins on any CDBG activity before award, the project be rejected. If the Childersburg project is rejected, the next project on the list will be the City of Guin sewer project for $360,000. Since the Childersburg project is more expensive than the Guin project, the difference will allow us to fund more of Elba's request.

Please let us know if you agree with our assessment. Since we are in a 7-day comment period, subject to your approval, we will make necessary changes to our submission to HUD on June 29.

Shabbir

From: Neighbors, Maureen
Sent: Tuesday, June 23, 2009 2:11 PM
To: Olia, Shabbir
Subject: CDBG-R Childersburg

Shabbir:

I received a call regarding the Childersburg CDBG-R project. I was informed that one of the three sewer lift stations included in the CDBG-R application may have been included in a previous CDBG project. I reviewed both of the open Childersburg projects (LR-CM-PF-07-014 and LR-ED-PF-05-001) and found that the City's 2007 Large City Competitive Fund project does include the Killough Heights lift station and identifies the same beneficiaries. That project has already been awarded, is under contract, and construction is nearing completion. Since the benefits to a portion of the CDBG-R project have already been paid for and achieved under a previous CDBG grant, it is my belief that this project should be re-rated. With the 25% reduction in beneficiaries, the reduced level of overall community impact, and the apparent capacity issues which may jeopardize timeliness, I believe the re-rated project would fall out of the funding range.

Maureen Neighbors

Program Supervisor
Community and Economic Development Programs
Alabama Department of Economic and Community Affairs
Post Office Box 5690
Montgomery, Alabama 36103-5690

(334) 242-5467

-----Original Message-----
From: Johnson, Bill
Sent: Wednesday, June 24, 2009 8:46 AM
To: Olia, Shabbir
Subject: Re: CDBG-R

Based on their economic distress, please select Guin as the replacement project.

----- Original Message -----
From: Olia, Shabbir
To: Johnson, Bill
Sent: Wed Jun 24 08:35:05 2009
Subject: CDBG-R

Bill:

We have five back-up projects and they all have scored 42. Based on economic distress, Guin project is next. However, based on the total score, they all are equal.

Therefore, you can pick whichever you want. Childersburg project which we are replacing was for $470,400. Any project you pick will make that a second project in that county.

Guin	Marion	sewer	$360,000	45 beneficiaries
Lockhart	Covington	Streets	500,000	549
Chambers County	Chambers	Fire Station	500,000	310
Lamar County	Lamar	water	439,900	92
Winston County	Winston	Streets	500,000	273

Please give us your answer as soon as possible.

Shabbir

OFFICE OF THE GOVERNOR

Bob Riley
GOVERNOR

State of Alabama

ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS

Bill Johnson
DIRECTOR

June 29, 2009

B. J. Meeks, Mayor
City of Childersburg
208 8th Avenue SW
Childersburg, AL 35044

Dear Mayor Meeks:

RE: City of Childersburg CDBG-R application

It has come to our attention that a portion of the City's CDBG-R activities have already been funded under the City's 2007 competitive project. After careful consideration, we have decided that deleting that portion of the project from the CDBG-R application would substantially reduce the number of beneficiaries and affect other factors, necessitating that the project be re-rated. Regrettably, upon re-rating the project, the application score is no longer in the fundable category.

Thank you for your participation in the CDBG-R application process. If you have any questions, please feel free to contact Shabbir Olia at (334) 242-5468.

Sincerely,

Doni M. Ingram
Director

DMI:MEN:sp

Attachment 3
Letter from Governor Bob Riley
Authorizing
Ms. Doni M. Ingram, Director

OFFICE OF THE GOVERNOR

BOB RILEY
GOVERNOR

STATE CAPITOL
MONTGOMERY, ALABAMA 36130

(334) 242-7100
FAX: (334) 242-0937

STATE OF ALABAMA

June 29, 2009

Ms. Doni M. Ingram, Director
Alabama Department of
Economic and Community Affairs
401 Adams Avenue, Suite 580
Montgomery, Alabama 36104

Dear Ms. Ingram:

Re: Community Development Block Grant – Recovery
CDBG-R

The State of Alabama has been allocated $7,049,436 of the U.S. Department of Housing and Urban Development's Community Development Block Grant Recovery (CDBG-R) Program funds authorized by Congress under the American Recovery and Reinvestment Act (ARRA) of 2009. I have selected the Alabama Department of Economic and Community Affairs (ADECA) to administer these funds due to the agency's knowledge of HUD regulations and prior successful implementation of HUD programs. I am also authorizing you, as the Director of ADECA, to sign certifications, assurances, and other documents necessary to implement the program, including the CDBG-R specific certifications regarding compliance with ARRA, Project Selection, Timeliness of Infrastructure Investments, Buy American Provision, Appropriate Use of Funds for Infrastructure Investment, and Seventy Percent of CDBG-R for Low- and Moderate-Income Persons.

Your department's cooperation is appreciated.

Sincerely,

Bob Riley

BR:SAO:sj

Attachment 4
Certifications

State of Alabama
Substantial Amendment to PY2008 Consolidated Plan
CDBG-R

STATE CERTIFICATIONS

(1) **Affirmatively furthering fair housing.** The State will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the state, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

(2) **Anti-displacement and relocation plan.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under CDBG-R.

(3) **Drug Free Workplace.** The jurisdiction will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

2. Establishing an ongoing drug-free awareness program to inform employees about –
   (a) The dangers of drug abuse in the workplace;
   (b) The grantee's policy of maintaining a drug-free workplace;
   (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
   (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;

4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
   (a) Abide by the terms of the statement; and
   (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:

(a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
(b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

(4) **Anti-lobbying**. To the best of the State's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

(5) **Authority of State**. The submission of the consolidated plan is authorized under State law and the State possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.

(6) **Consistency with Plan**. The housing activities to be undertaken with CDBG-R funds are consistent with its consolidated plan.

(7) **Section 3**. The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.

(8) **Community development plan.** The jurisdiction certifies that the consolidated housing and community development plan identifies housing and community development needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the statute authorizing the CDBG program.

(9) **Consultation with Local Governments.** The state certifies that, for community development activities, it engages or will engage in planning; that it provides or will provide technical assistance to local governments; and that it will not refuse to distribute funds on the basis of the particular eligible activity selected by the local government to meet its community development needs, except that a State is not prevented from establishing priorities in distributing funding on the basis of the activities selected.

(10) **Use of funds.** The jurisdiction has developed activities so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention of slums or blight. Additional activities may be included that are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs
It has complied with the following criteria:

1. **Maximum Feasible Priority**. With respect to activities expected to be assisted with CDBG-R funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);

2. **Special Assessments.** The State will require units of general local government that receive CDBG-R funds to certify to the following:

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG-R funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG-R funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG-R funds, unless CDBG-R funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG-R funds if the jurisdiction certifies that it lacks CDBG-R or CDBG funds to cover the assessment.

(11) **Excessive Force.** The State will require units of general local government that receive CDBG-R funds to certify that they have adopted and are enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(12) **Compliance with anti-discrimination laws**. The CDBG-R grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(13) **Compliance with laws**. The jurisdiction will comply with applicable laws.

(14) **Compliance with ARRA.** The jurisdiction will comply with Title XII of Division A of the American Recovery and Reinvestment Act of 2009.

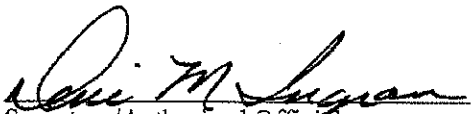
(15) **Project selection.** The jurisdiction will select projects to be funded, by giving priority to projects that can award contracts based on bids within 120 days from the date the funds are made available to the recipient, and that will ensure maximum job creation and economic benefit.

(16) **Timeliness of infrastructure investments.** When the jurisdiction uses CDBG-R funds for infrastructure investments, the grantee will give preference to quick-start and finish activities, including a goal to use at least 50 percent of the funds for activities within 120 days of enactment of the Recovery Act.

(17) **Buy American provision.** The jurisdiction will ensure that all iron, steel and manufactured goods used in construction, alteration, repair, or maintenance of a public building or public work project assisted with CDBG-R funds under the Recovery Act must be produced in the United States unless the Secretary finds that: (1) the requirement is inconsistent with public interest; (2) those goods are not reasonably available or produced in sufficient quantity in the U.S.; (3) or the use of the goods will increase the project cost by more than 25 percent.

(18) **Appropriate use of funds for infrastructure investments.** The Governor, mayor, or other chief executive, as appropriate certifies, that any infrastructure investments have received the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars. Alternatively, a grantee's chief elected official certifies that infrastructure investments will receive the full review and vetting required by law and that the chief executive accepts responsibility that the infrastructure investment is an appropriate use of taxpayer dollars.

(19) **70% of CDBG-R for LMI.** The aggregate use of CDBG-R funds shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the grant is expended for activities that benefit such persons over the life of the CDBG-R grant.



Signature/Authorized Official

June 29, 2009
Date

Director, Alabama Department of Economic and Community Affairs
Title

Attachment 5
SF 424

State of Alabama
Substantial Amendment to PY2008 Consolidated Plan
CDBG-R

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

*1. Type of Submission:	*2. Type of Application	* If Revision, select appropriate letter(s)
☐ Preapplication	☒ New	
☒ Application	☐ Continuation	*Other (Specify)
☐ Changed/Corrected Application	☐ Revision	

3. Date Received:	4. Applicant Identifier:

5a. Federal Entity Identifier:	*5b. Federal Award Identifier:

State Use Only:

6. Date Received by State:	7. State Application Identifier:

8. APPLICANT INFORMATION:

*a. Legal Name: State of Alabama

*b. Employer/Taxpayer Identification Number (EIN/TIN):	*c. Organizational DUNS:
63-6000619	06-262-0604

d. Address:

*Street 1: 401 Adams Avenue
Street 2: Post Office Box 5690
*City: Montgomery
County: Montgomery
*State: Alabama
Province:
*Country: USA
*Zip / Postal Code 36103-5690

e. Organizational Unit:

Department Name:	Division Name:
Alabama Department of Economic and Community Affairs	

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms. *First Name: Doni
Middle Name: M.
*Last Name: Ingram
Suffix:

Title: Director

Organizational Affiliation:

*Telephone Number: (334) 353-5765 Fax Number: (334) 242-5099

*Email: doni.ingram@adeca.alabama.gov

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424	Version 02

***9. Type of Applicant 1: Select Applicant Type:**

A.State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

Department of Housing and Urban Development

11. Catalog of Federal Domestic Assistance Number:

14.255

CFDA Title:

Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii – (Recovery Act Funded)

***12 Funding Opportunity Number:**

*Title:

Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii – (Recovery Act Funded)

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

State of Alabama

***15. Descriptive Title of Applicant's Project:**

Substantial Amendment to the PY2008 Consolidated Plan to incorporate the formula funds from the Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii – (Recovery Act Funded) program

OMB Number: 4040-0004
Expiration Date: 01/31/2009

Application for Federal Assistance SF-424 Version 02

16. Congressional Districts Of:

*a. Applicant: Alabama - All *b. Program/Project: Alabama - All

17. Proposed Project:

*a. Start Date: Upon HUD Approval *b. End Date: Dependent on Start Date

18. Estimated Funding ($):

*a. Federal	$7,049,436
*b. Applicant	
*c. State	
*d. Local	
*e. Other	
*f. Program Income	
*g. TOTAL	$7,049,436

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

☐ a. This application was made available to the State under the Executive Order 12372 Process for review on _____

☐ b. Program is subject to E.O. 12372 but has not been selected by the State for review.

☒ c. Program is not covered by E. O. 12372

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

☐ Yes ☒ No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)

☒ ** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Ms. *First Name: Doni

Middle Name: M.

*Last Name: Ingram

Suffix:

*Title: Director

*Telephone Number: (334) 353-5765 Fax Number: (334) 242-5099

* Email: doni.ingram@adeca.alabama.gov

*Signature of Authorized Representative: Doni M Ingram *Date Signed: 6/29/09

Authorized for Local Reproduction

Standard Form 424 (Revised 10/2005)
Prescribed by OMB Circular A-102

Application for Federal Assistance SF-424 Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.