

# Section 3 Summary Report

Economic Opportunities for  
Low - and Very Low-Income Persons

U.S. Department of Housing  
and Urban Development  
Office of Fair Housing  
And Equal Opportunity

OMB Approval No: 2529-0043  
(exp. 11/30/2010)

HUD Field Office:

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1. Recipient Name & Address: (street, city, state, zip) AL Dept. of Economic & Community Affairs P. O. Box 5690 Montgomery, AL 36103	2. Federal Identification: (grant no.) 2009 60002 Report	3. Total Amount of Award: \$ 46,797,927
	4. Contact Person Shabbir Olia CED Programs Manager	5. Phone: (Include area code) 334-242-5468
	6. Length of Grant: PY2002 to PY2009	7. Reporting Period: October 1, 2008 March 31, 2010
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code) 8	10. Program Name: CDBG State Administered

**Part I: Employment and Training (\*\* Columns B, C and F are mandatory fields. Include New Hires in E & F)**

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	10	0			0
Technicians					
Office/Clerical					
Construction by Trade (List)					
Trade Asphalt Raker	6	2			0
Trade Backhoe Operator	3	1			0
Trade Bulldozer Operator	2	0			0
Trade Carpenter	34	18			0
Trade Drill Operator	1	0			0
Other (List) Electrician	23	15			0
Equipment Operator	3	0			0
Journeyman Plumber	3	1			0
Laborer	69	42			0
Milling Machinist	2	0			0
Painter	3	1			0
Pipelayer	8	0			0
Plumber	22	15			0
Traffic Controller	4	0			0
Truck Driver	4	1			0
Truckhoe	5	0			0
Roofer	4	0			0
<b>Total</b>	<b>206</b>	<b>96</b>			<b>2</b>

\* Program Codes  
1 = Flexible Subsidy  
2 = Section 202/811

3 = Public/Indian Housing  
A = Development,  
B = Operation  
C = Modernization

4 = Homeless Assistance  
5 = HOME  
6 = HOME State Administered  
7 = CDBG Entitlement

8 = CDBG State Administered  
9 = Other CD Programs  
10 = Other Housing Programs

Reporting Period Note: ADECA converted from reporting by fiscal year (Oct. 1-Sept. 30) to reporting by program year (Apr. 1-March 31). Therefore, this report is for 18 months (Oct. 1, 2008-March 31, 2010).

Part II: Contracts Awarded Reported in CDBG dollars.

1. Construction Contracts:	
A. Total dollar amount of all contracts awarded on the project	\$46,797,927
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 2,615,596
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	5.6 %
D. Total number of Section 3 businesses receiving contracts	24
2. Non-Construction Contracts:	
A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0*
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Activities frequently included notifying ADECA's Office of Minority Business of upcoming construction contracts and mailing letters to solicit possible Section 3 business concerns. Please note that many of the State's CDBG projects are in rural and small urban areas and that opportunities for contracting with Section 3 business concerns are limited.

\*For FY-2008, contracts for Engineering and Grant Administration were shown in our CAPER as "Non-Construction Contracts". As per HUD's guidance, ADECA's PY-2009 Form 60002 shows these as "Construction".

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.